

10/049532

JC13 Rec'd PCT/PTO 12 FEB 2002

PATENT

(Practitioner's Docket No. IN-5551)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Manuela ARMBRUST , Wolfgang
BREMSER, Horst HINTZE-BRÜNING,
Wilfried STÜBBE, Peter BETZ and
Christel EHLIG

Serial No.: This application is a National
Phase of Patent Application
PCT/EP00/08031 filed 17 August 2000.

Filed: Tuesday, February 12, 2002

For: SOL-GEL COATING
Commissioner of Patents
Washington, D.C. 20231

Group Art Unit: Not Assigned

Examiner: Not Assigned

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Date of Deposit

February 12, 2002

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Marjorie Ellis

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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To the Honorable Commissioner of Patents and Trademarks: For record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Manuela ARMBRUST

2. Name and address of receiving party(ies):

Name: BASF Coatings Aktiengesellschaft
Internal Address: Patent Department
Street Address: Glasuritstrasse 1
48165 Muenster-Hiltrup, GERMANY

Additional numbers attached?

☒

Yes

☐

No

3. Nature of conveyance:

☒ Assignment☐

Merger

☐ Security Agreement☐

Change of Name

☐ Other☐

Execution Date: 21.01.02 and 10.01.02

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Yes

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No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 2/1 2/02

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5551

Application No.0 /

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Michael F. Morgan, 42,906; Anne Gerry Sabourin, 33,772; Mary E. Golota, 36,814
Internal Address: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan Zip: 48034-2442 Phone: (248) 948-2355

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

Enclosed

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8. Deposit account number: 23-3425

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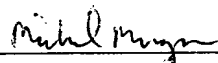
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Michael F. Morgan, 42,906

Name of Person Signature



Signature

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Above Date

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Total number of pages comprising cover sheet:

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Patent only**Additional Name(s) of Conveying Party(ies)**

2. Wolfgang BREMSER
3. Horst HINTZE-BRÜNING
4. Wilfried STÜBBE
5. Peter BETZ
6. Christel EHLIG

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Patent*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents
Washington, D.C. 20.231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
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Date: February 12, 2002

Signature

Marjorie Ellis

(type or print name of person certifying)

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page1)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF COATINGS AG

2. _____
Name of assignee
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON(s) AUTHORIZED TO SIGN

3. Michael F. Morgan
(type name of person authorized to sign on behalf of assignee)
Attorney
Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel _____, Frame _____

2. ☒ An assignment (document) separately being submitted for recordal herewith.

AND/OR

B.

1. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____

Name of inventor(s)

To: _____

Recorded in PTO:

Reel _____ Frame _____

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No.: 42,906

Michael F. Morgan
SIGNATURE OF ATTORNEY

Michael F. Morgan
Type or print name of attorney

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